



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

**Erik R. Swenson, et al.**

Application No.: 10/658,216

Filed: September 8, 2003

For: **SYSTEM FOR AND METHOD OF  
COMMUNICATING CONTROL  
INFORMATION BETWEEN ENTITIES  
INTERCONNECTED BY BACKPLANE  
CONNECTIONS**

Art Unit: 2836

Examiner: Unassigned

**PRELIMINARY AMENDMENT**

***Mail Stop Non-Fee Amendment***

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Prior to commencing substantive examination, kindly amend the subject application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 5 of this paper.

**Remarks** begin on page 16 of this paper.

---

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 12, 2003  
Date of Deposit

Robin L. Clow  
Name of Person Mailing Paper

R. Clow  
Signature of Person Mailing Paper



Howrey Docket No.: 02453.0022.NPUS00  
Extreme Dkt. No. P101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

**Erik R. Swenson, et al.**

Application No.: 10/658,216

Filed: September 8, 2003

For: **SYSTEM FOR AND METHOD OF  
COMMUNICATING CONTROL  
INFORMATION BETWEEN ENTITIES  
INTERCONNECTED BY BACKPLANE  
CONNECTIONS**

Art Unit: 2836

Examiner: Unassigned

**TRANSMITTAL OF PRELIMINARY AMENDMENT**

***Mail Stop Non-Fee Amendment***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

☒ Preliminary Amendment; and

☒ Postcard

---

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 12, 2003  
Date of Deposit

Robin L. Clow  
Name of Person Mailing Paper

*R. Clow*  
Signature of Person Mailing Paper

Applicant believes no fees are due in connection with this transmittal. However, if any fees are in fact due, the Commissioner is hereby authorized to be charged to our Deposit Account No. **08-3038** referencing Docket No. **02453.0022.NPUS00**.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038** referencing Docket No. **02453.0022.NPUS00**.

Respectfully submitted,

HOWREY SIMON ARNOLD & WHITE, LLP

Date: December 12, 2003

  
\_\_\_\_\_  
Sean D. Burdick, Reg. No. 51,513

HOWREY SIMON ARNOLD & WHITE, LLP  
301 Ravenswood Avenue  
Box No. 34  
Menlo Park, CA 94025  
Fax No. (650) 463-8400  
Telephone No. (949) 759-5219